

Family Educational Rights and Privacy Act (FERPA) Audit Report

**Presented To
BlockSurvey Inc
(BlockSurvey)**



**Report By:
Scrut Automation Inc.
(Scrut Automation)**



Report Date: January 09, 2026

1. Executive Summary

To the management of “BlockSurvey Inc”:

We have completed our engagement to assist “BlockSurvey Inc” along with applications – BlockSurvey: B2B Survey collection platform with evaluating and validating the compliance against the Family Educational Rights and Privacy Act (FERPA). Our engagement was performed in accordance with our standard scope of work (SOW).

Our detailed assessment reporting is followed in “Assessor Workbook”. The report has been prepared based upon information provided by “BlockSurvey Inc” during the assessment and interactions and assessment summary is based on sampling of identified systems. “BlockSurvey Inc” agrees to hold us harmless and indemnify us against any claims, damages, proceedings, charges or other liabilities resulting from, or arising out of, our representations here in the preparation of the report.

We appreciate the co-operation and assistance provided to us during engagement wherein we observed the overall status of “BlockSurvey Inc” as “Satisfactory” for Family Educational Rights and Privacy Act (FERPA) controls.

Yours truly,
Scrut Automation
January 09, 2026

This report is intended solely for the information and use of BlockSurvey and should not be used without prior authorization of BlockSurvey Inc.

2. Summary of Findings.

The findings of the assessment have been categorized into four types:

Satisfactory	Not Applicable	Partially Compliant	Non-Compliant
9	0	0	0

3. Assessment Objective and Criteria

Family Educational Rights and Privacy Act (FERPA) - The Family Educational Rights and Privacy Act (FERPA) (20 U.S.C. § 1232g; 34 CFR Part 99) is a federal law that protects the privacy of student education records. The law applies to all schools that receive funds under an applicable program of the U.S. Department of Education.

FERPA gives parents certain rights concerning their children's education records. These rights transfer to the student when he or she reaches the age of 18 or attends a school beyond the high school level. Students to whom the rights have transferred are "eligible students".

Scrut Automation review was focused on understanding, reviewing, and assuring the privacy, data protection, and supporting information security controls are in place during the time of our review. The purpose of our validation activities was primarily to understand the controls implementation at “BlockSurvey Inc” including application – BlockSurvey: B2B Survey collection platform. Our procedure included the observations of the operations, auditee interview information and system review without performing further testing to evaluate the operating effectiveness.

4. Scrut Automation Audit Review Methodology

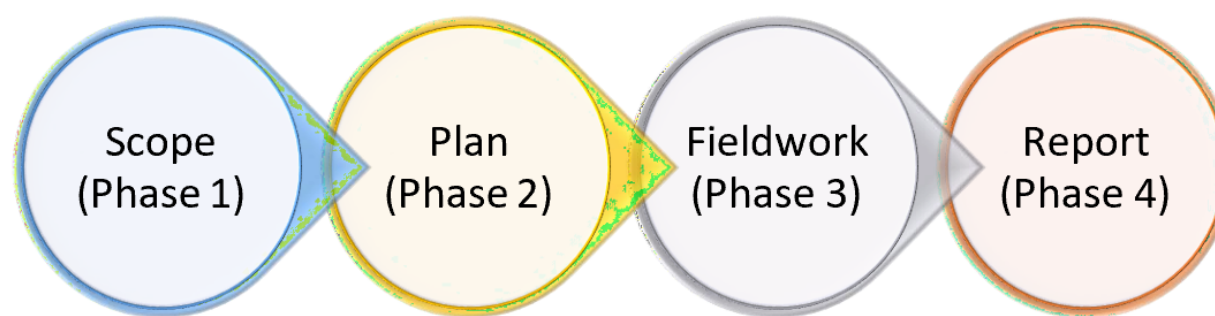


Figure 1: Audit Methodology

4.1 Phase 1: Scope

During this phase, understanding of the business operations, applications and its infrastructure and the data being consumed, stored, processed, and transmitted as part of these applications was carried out. Team involved in handling various operations were discussed for identifying the audit scope.

4.2 Phase 2: Plan

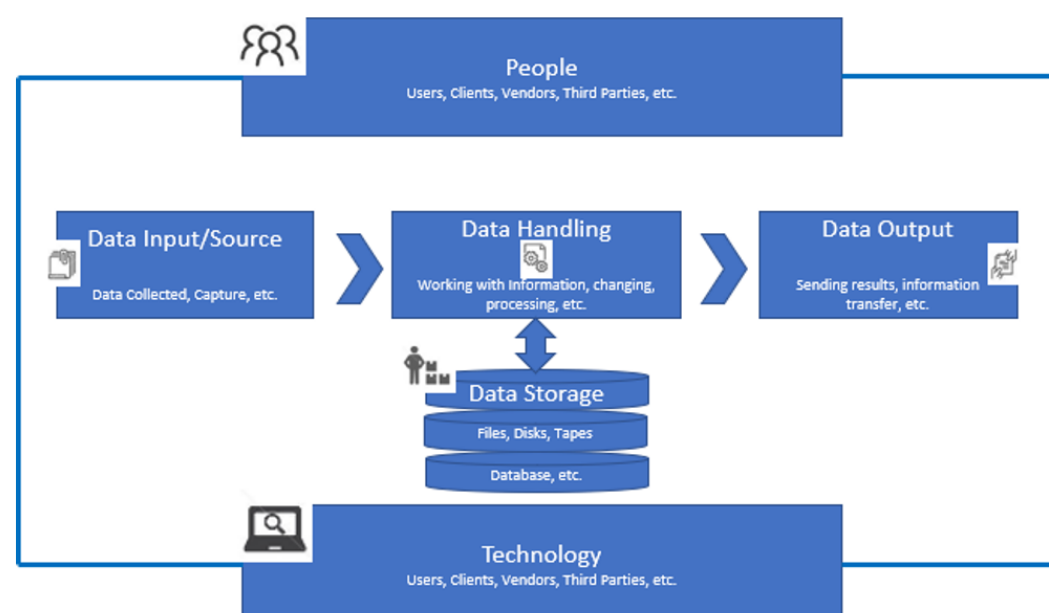
Our assessment involved a focus on processing, handling, transmission, and storage of data. Our main elements of the assessment were people, processes, and technology.

Listed below are some of the examples of people, process, and technology.

People	Process	Technology
- o Employees - o Various departments such as IT, Compliance, Operations, etc. - o Information Security & Compliance team	- o Operations - o Structured Operating Procedures (SOP) - o Communication	- o Tools and Applications - o Controls for Data Protection and Information Security - o Data Transmission Channel

4.3 Phase 3: Fieldwork

This phase consists of onsite interviews, a walkthrough of relevant business processes, and testing. Findings and observations are awarded to the assessed entity after the onsite assessment.



4.4 Phase 4: Report

The final step of the assessment is to present the detailed assessment compliance report to the assessed entity's management.

5. Assessment Report

5.1 Contact Information

Client	
Company Name	BlockSurvey Inc
Company Address	651 N Broad St, Suite 206, City of Middletown, 19709, New Castle
Company URL	https://blocksurvey.io/
Company Contact Name	Raja I
Designation	CTO
Contact Email	raja@blocksurvey.org
Assessor Company	
Company Name	Scrut Automation Inc.
Company Address	Address - 691 S Milpitas Blvd, Suite 217, Milpitas, CA 95035
Website	https://www.scrut.io
Audit Team	
Report By	Scrut Automation Inc.

5.2 Date and Timeframe of Assessment

Date of the Report	January 09, 2026
Timeframe of Assessment	December 10, 2025 to December 30, 2025
Dates spent for remote assessment and onsite at the entity	December 10, 2025 to December 30, 2025

5.3 Business Description and Project Scope:

Parameters	Assessor's Response
Business Overview	BlockSurvey enables businesses and individuals to create no-code online forms and surveys and collect data based on anonymity.
Description of Scoped Systems	Empower Businesses to collect Data through forms and Surveys in Secure Manner as SaaS for their clients.
Locations Covered in Scope	651 N Broad St, Suite 206, City of Middletown, 19709, New Castle

5.4 Audit Scope

The following domains were reviewed during the audit:

- Information Security, Governance, Policy, Framework
- Roles and responsibilities of CISO, Board, and Security Committee
- Identity and Access Management
- Awareness
- Change Management
- Asset Management
- Human resource security
- Data Security

- Application Security
- Business Continuity and Disaster Recovery Plan
- Cyber Crisis Management Plan
- Platform Security
- Network Security
- Cryptography and Key Management
- Endpoint Security
- Cloud Security
- Mobile Security
- Information Security Audit
- Legal requirements on Information and Cyber Security
- Privacy

6. Disclaimer

This report is intended solely for the use of the BlockSurvey Inc. The assessment and resulting observations are based on information provided and sampled at the time of review. Automation tools, including but not limited to platforms such as Scrut Automation, may have been used to support the evaluation process. As such, the findings may not represent all systems, processes, or compliance obligations in full. This document is not intended to serve as legal advice, a certification, or a guarantee of full compliance. BlockSurvey Inc is responsible for ensuring that appropriate data protection and privacy measures are implemented, continuously monitored, and maintained in accordance with all applicable laws, regulations, and contractual requirements. No liability is accepted for decisions made or actions taken based on this report. Unauthorized disclosure, reproduction, or distribution of this document or its contents is strictly prohibited.

7. Assessor workbook – BlockSurvey Inc - Compliance Audit Report

S No	Control Description	Test Description	Test Result
1	Grant eligible students' access to their education records.	The organization has documented FERPA Policy and Procedure version 1.0 for compliance. The Survey Records of Student are stored in the application.	Satisfactory
2	Secure signed, written consent from the applicable parent, legal guardian, or eligible student before disclosing that student's education records.	The organization has documented FERPA Policy and Procedure version 1.0 for compliance. The Survey Records of Student are stored in the application and the application have a provision to enable consent for Student records.	Satisfactory
3	Make education records available for review no more than 45 days from the date of the initial request.	The organization has documented FERPA Policy and Procedure version 1.0 as per which student records shall be available within 30 days of the initial request.	Satisfactory
4	Do not destroy an education record if there is an existing request to review that record.	The organization has documented FERPA Policy and Procedure version 1.0 as per which the student records entity shall not destroy an educational record if there is an existing request to review that record.	Satisfactory
5	Disclose to parents or legal guardians only their own child's education records (providing that the student is under the age of 18); do not let them see other students' education records	The organization has documented FERPA Policy and Procedure version 1.0 as per which records of own children of parents or legal guardians shall be disclosed.	Satisfactory
6	Provide meaningful assistance to parents, legal guardians, and students who are non-native English speakers.	The Organization as part of SLA/SOW gives helpline numbers and emails for assisting non-native English Speakers.	Satisfactory
7	Make accommodations for parents and legal guardians who live beyond commuting distance of the school and may be unable to view their child's education records in person.	The organization has documented FERPA Policy and Procedure version 1.0 for compliance as per which child's records shall be available in person also.	Satisfactory
8	If a parent, legal guardian, or student request a correction to an education record, either honor that request and amend the record or prepare to convene a formal hearing to explain your reason not to	The organization has documented FERPA Policy and Procedure version 1.0 for compliance If a parent, legal guardian, or student request a correction to an education record, either honor that request and amend the record.	Satisfactory
9	Do not disclose a student's education records to a third party unless that third party has the signed, written consent of that student or their parent or legal guardian.	The organization has documented FERPA Policy and Procedure version 1.0 stating that student education records shall not be disclosed to a third party without signed, written consent from the student or their parent/legal guardian; however, in practice, the organization has implemented an implicit consent mechanism rather than obtaining explicit documented consent.	Satisfactory

AUDIT REPORT SUMMARY

BlockSurvey Inc, operating within the scope of the Family Educational Rights and Privacy Act (FERPA), is found to have effectively implemented the applicable requirements of **FERPA (20 U.S.C. § 1232g; 34 CFR Part 99)**. Required administrative and technical controls relating to the access, use, disclosure, and protection of education records were found to be documented and implemented.

The assessment reflects the current FERPA requirements. Education records processed by the organization on behalf of educational institutions are limited in nature, and appropriate safeguards aligned with FERPA requirements have been adopted and are operating effectively.